

EXAMPLES OF NONVANISHING CHERN-SIMONS INVARIANTS

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Introduction

In this paper we study the Chern-Simons invariants. These invariants of $(4n - 1)$ -dimensional Riemannian manifolds first appeared in Chern-Simons [1]. They are obstructions to conformal immersion of the Riemannian manifold in Euclidean space in much the same way as the Pontrjagin classes are to topological immersion. In Chern-Simons [1] a 3-dimensional example was given whose Chern-Simons invariant was nonzero. However, no higher-dimensional examples were given. Our first theorem gives a simple algebraic formula for these invariants for a spherical space form. In particular, for the Lens spaces $L(p; q_1, q_2, \dots, q_{2n})$ the invariants are expressible in terms of the elementary symmetric functions of q_1, q_2, \dots, q_{2n} modulo p . Using this and judiciously choosing p and the q_i 's one can produce for each n , infinitely many Lens spaces $L(p; q_1, q_2, \dots, q_{2n})$ which immerse smoothly in R^{4n} but not conformally in $R^{4n+2n-2}$. This is the "best-possible" non-immersion result obtainable with the Chern-Simons invariants. For example, the 15-dimensional Lens space $L(137; 1, 10, 100, 41, 136, 127, 37, 96)$ immerses smoothly in R^{10} but not conformally in R^{22} . As another application of our calculation for Lens spaces we give a residue formula for the Pontrjagin numbers of a $4n$ -manifold admitting a periodic diffeomorphism of prime order. We give here the formula for the case where the diffeomorphism f has only isolated fixed points. Let $Q(p_1, p_2, \dots, p_n)$ be a polynomial of the right weight in the Pontrjagin classes p_i to obtain a Pontrjagin number $Q(M)$. Let m_1, m_2, \dots, m_k be the fixed points of f . If p is the order of f , then $Q(M) \equiv \sum_{i=1}^k \text{Res}(f, m_i)$, modulo p , where $\text{Res}(f, m_i)$ is calculated as follows. Since f leaves m_i fixed, df maps the tangent space of M at m_i to itself. One can always (by averaging) assume f preserves a metric on M , so $df(m_i)$ is a rotation of order p . Let $\theta_1, \theta_2, \dots, \theta_{2n}$ be its rotation angles; that is, $df(m_i)$ is similar to a block matrix:

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$$\begin{pmatrix} \cos \theta_1 & -\sin \theta_1 & & & 0 \\ \sin \theta_1 & \cos \theta_1 & & & \\ & & 0 & & \\ & & & \ddots & \\ & & & & \cos \theta_{2n} & -\sin \theta_{2n} \\ & & & & \sin \theta_{2n} & \cos \theta_{2n} \end{pmatrix}.$$

Since df has order p we have $\theta = 2\pi q/p$ for some integer q which is determined modulo p . Then

$$\text{Res}(f, m_i) = - \frac{Q(\sigma_1(q_1^2, q_2^2, \dots, q_{2n}^2), \dots, \sigma_n(q_1^2, q_2^2, \dots, q_{2n}^2))}{q_1 q_2 \cdots q_{2n}},$$

where σ_i is the i th elementary symmetric function. This formula was also derived by Kosniowski [4] independently using a different method.

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1. Review of definitions

In Chern-Simons [1] the T forms associated to a Riemannian manifold M were defined. The form Tp_n is a $(4n - 1)$ -form on the frame bundle of M satisfying $dTp_n = \pi^*p_n$, where π is the bundle projection and p_n is the Pontrjagin form associated to the metric. In the case $p_n = 0$, Tp_n defines a cohomology class on the frame bundle whose R/Z reduction is the lift of a class from the base. In this way, we associate R/Z cohomology classes to some Riemannian manifolds. These classes have the defect that there is no way to calculate them if M is not parallelizable. The work of Cheeger-Simons [2] remedied this difficulty.

We will now give a review of the Simons S -characters. A more detailed treatment may be found in [1], [2]. They are the invariants of a *geometric vector bundle*, that is, a vector bundle with a connection. We will emphasize this notion throughout.

Let us begin by recalling the definition of these S -characters. We will not construct them in full generality but only in the Riemannian case. The universal object in Riemannian geometry is $BO(n)$ (or rather some large finite skeleton) equipped with the universal Levi-Civita connection on the canonical n -dimensional vector bundle over it. We obtain its curvature Ω and the Pontrjagin forms $p(\Omega)$ the natural globalization of Ω . Now given any smooth $(4k - 1)$ -

cycle M in $G(n, N)$ (a finite approximation to $BO(n)$) either M or $2M$ bounds. If M bounds, say $M = \partial W$ where W is a smooth singular $4k$ chain, define

$$Sp(M) = \int_w \overline{p(\Omega)}$$

where $\overline{\quad}$ denotes reduction mod Z . That this number is independent of the choice of W is clearly true. If W_1 is another choice with $\partial W_1 = M$ then $W - W_1$ is a cycle; hence, $\int_{W-W_1} p(\Omega) = \int_W p(\Omega) - \int_{W_1} p(\Omega)$ is an integer. If $2M$ bounds we have to be more careful. We choose an integral cochain u which represents the integral Pontrjagin class and define

$$Sp(M) = \frac{1}{2} \left\{ \int_w \overline{p(\Omega) - u(W)} \right\}.$$

It is easily seen that Sp is independent of the choices of W and u . Sp gives a homomorphism from the additive group of $(4k - 1)$ -cycles to the circle, that is, it is a character of this group. Moreover, it is natural with respect to connection preserving bundle maps—as is easily seen from the definition.

Now given any *Riemannian vector bundle* (a vector bundle with a Riemannian metric and an invariant connection) $\pi: E \rightarrow M$, it is classified by a map to $BO(n)$ as a Riemannian bundle. That is, the connection on E is the pullback connection from the universal connection in $BO(n)$. This follows from a theorem of Narasimhan and Ramanan [6]. Using this classifying map we can pull back the universal characters to M . Cheeger-Simons [2] showed that these *characters depend only on the Riemannian bundle and not on the choice of classifying map*.

An easy computation shows that in $BO(n)$, $\delta Sp = \overline{p(\Omega)}$ where δ is the R/Z coboundary and $\overline{p(\Omega)}$ is the R/Z cochain determined by the real Pontrjagin form. From this it follows that Sp defines a cohomology class on M if and only if the Pontrjagin form $p(\Omega)$ is zero. Moreover, the above formula also implies that Sp lifts in the total space of the universal $O(n)$ bundle to an R/Z primitive for p (since this is already true on the base). Thus the lift of Sp and Sp are cohomologous as R/Z cochains. In the case where $p(\Omega)$ vanishes, then the R/Z class determined by Sp is the class whose lift is Sp .

For a constant curvature manifold, all the Pontrjagin forms vanish. We thus have a host of $(4k - 1)$ -dimensional cohomology classes associated with the Riemannian geometry of these manifolds. We now calculate these classes for constant positively-curved manifolds.

2. The stability theorem

Theorem. *Given an n -manifold M of constant positive curvature, there exists a trivial line bundle L over M so that $\tau(M) \oplus L$ admits a flat Riemannian connection compatible with the original connection on $\tau(M)$. Moreover, the S*

classes of $\tau(M) \oplus L$ with this flat connection are the same as those of $\tau(M)$.

We say the connection \bar{V} on $\tau(M) \oplus L$ is compatible with the old connection V on $\tau(M)$ if given any section of $\tau(M) \oplus L$ of the form $(s, 0)$, s a section of $\tau(M)$, its derivative \bar{V}_s projected back into $\tau(M)$ coincides with V_s .

Proof. Let G be the fundamental group of M^n . Then G is represented as a subgroup of $SO(n + 1)$. One obtains the Riemannian tangent bundle of M by quotienting the tangent bundle of S^n by G . Now if N denotes the normal field to S^n , then $\tau(S^n) \oplus N$ has the flat Euclidean connection. This connection and the orthogonal sum are preserved by G , and project down to M to give the first statement of the theorem (L is the image of N).

Lemma A. *The S classes for the flat connection $\bar{\theta}$ on $\tau(M) \oplus L$ are the same as those for the Whitney sum connection $\theta \oplus \eta$ on $\tau(M) \oplus L$ where η is the zero form as it takes values in $SO(1)$.*

Proof. Join the two connections by a linear family of connections $(1 - t)\theta + t\bar{\theta} = \theta_t$. Then the variational formula from Cheeger-Simons [2] gives us

$$Sp(\bar{\theta}) - Sp(\theta) = \int_0^1 p(\theta'_t, \Omega_t, \dots, \Omega_t) dt,$$

where $\theta'_t = d\theta_t/dt$. We will show that the integrand is identically zero. Now p is a polynomial in terms of the type $\theta'_l \wedge \Omega_l^{l-1}$, since any invariant polynomial of degree m for $O(n)$ is expressible as a polynomial in terms of the type $\text{trace } X^l, l \leq m$. We will show all such terms are zero. Our computations will be made on the principal bundle F of frames in $\tau(M) \oplus L$. This bundle contains the subbundle \tilde{F} of split frames $\{m, e_1, e_2, \dots, e_n, N\}$ so that e_1, e_2, \dots, e_n is a frame for $\tau(M, m)$. If we can prove that $p(\theta'_t, \Omega_t, \dots, \Omega_t) \equiv 0$ on this subbundle, then the result will follow because p is equivariant.

First note that restricted to \tilde{F}, θ has the form

$$\left(\begin{array}{c|c} A & 0 \\ \hline 0 & 0 \end{array} \right).$$

We say such a matrix is of type $\bar{\theta}$. $\bar{\theta}$ has the form

$$\left(\begin{array}{c|c} A & p \\ \hline -p & \end{array} \right)$$

where p is a $1 \times n$ matrix. Thus $\theta'_t = \left(\begin{array}{c|c} 0 & p \\ \hline -p & 0 \end{array} \right)$. We say matrices of the form of θ'_t have type p . Now let $\alpha = \bar{\theta} - \theta = \theta'_t$. Then we have

$$\begin{aligned} \theta_t &= \theta + t\alpha, \\ \Omega_t &= d\theta_t + \frac{1}{2}[\theta_t, \theta_t] = d\theta + t d\alpha + \frac{1}{2}[\theta + t\alpha, \theta + t\alpha] \\ &= d\theta + \frac{1}{2}[\theta, \theta] + t\{d\alpha + [\theta, \alpha]\} + \frac{t^2}{2}[\alpha, \alpha]. \end{aligned}$$

Codazzi equation: $d\alpha + [\theta, \alpha] = 0$.

Proof. $\bar{\theta}$ is a flat connection; hence

$$0 = \bar{\Omega} = d\bar{\theta} + \frac{1}{2}[\bar{\theta}, \bar{\theta}] .$$

Writing $\bar{\theta} = \theta + \alpha$ (a direct sum $\mathfrak{k} \oplus \mathfrak{p}$) we obtain the direct sum splitting of $\bar{\Omega}$

$$\begin{aligned} \bar{\Omega} &= d\bar{\theta} + \frac{1}{2}[\bar{\theta}, \bar{\theta}] = d\theta + d\alpha + \frac{1}{2}[\theta + \alpha, \theta + \alpha] \\ &= d\theta + \frac{1}{2}[\theta, \theta] + \frac{1}{2}[\alpha, \alpha] + d\alpha + [\theta, \alpha] \end{aligned}$$

Setting the \mathfrak{p} component of $\bar{\Omega}$ equal to zero gives

$$d\alpha + [\theta, \alpha] = 0 .$$

Returning to the formula for Ω_i , setting $d\alpha + [\theta, \alpha] = 0$, and noting $[\alpha, \alpha]$ is of type \mathfrak{k} , we see Ω_i is of type \mathfrak{k} , and hence Ω_i^{i-1} is also of type \mathfrak{k} . But this means $\theta'_i \wedge \Omega_i^{i-1}$ is a matrix of type \mathfrak{p} (it is a product of a matrix of type \mathfrak{k} with a matrix of type \mathfrak{p}); hence its trace vanishes, that is,

$$\text{trace } \theta'_i \wedge \Omega_i^{i-1} = 0 .$$

We have shown that the S -characters for $\tau(M) \oplus L$ equipped with the Euclidean connection are the same as for $\tau(M) \oplus L$ with the Whitney sum connection. Now L is a trivial Riemannian line bundle. It is clear from the definition of the S classes that $\tau(M) \oplus L$ with the Whitney sum connection and $\tau(M)$ have the same invariants.

Remark. This theorem is a special case of the Whitney-sum theorem of Cheeger-Simons [2]. We arrived at our theorem independently.

3. Calculation of the Simons invariants for spherical space forms

We now compute the invariants for the flat bundle $\tau(M) \oplus L$ in terms of the characteristic classes of the holonomy representation ρ . For more on the characteristic classes of group representations see Atiyah [1].

The representation $\rho: G \rightarrow SO(n + 1)$ induces a map $B_\rho: BG \rightarrow BSO(n + 1)$. There is a CW decomposition of BG so that M is the n -skeleton; indeed, we have skeletal maps

$$\begin{array}{ccc} S^n & \longrightarrow & E_G \\ \downarrow & & \downarrow \\ M^n & \longrightarrow & B_G . \end{array}$$

Proposition. *The classifying map for $\tau(M) \oplus L$ is $B_\rho \circ i$.*

Proof. Observe that $\tau(M) \oplus L = S^n \times_\rho R^{n+1}$.

Theorem. *The S invariants of $\tau(M)$ are given by*

$$Sp_i(M) = -i^* \beta^{-1} p_i(\rho) ,$$

where $p_i(\rho)$, the i th Pontrjagin class of the representation ρ , is given by $p_i(\rho) = (B_\rho)^* p_i$, and β is the S^1 Bockstein homomorphism.

Proof. Let K be an N -dimensional skeleton of BG , $N \geq n + 2$, obtained by attaching cells to M^n . If $\psi = B_\rho|K$, then $\psi \circ i$ still classifies $\tau(M) \oplus L$.

It is clear from their definition that the inverse Bockstein of an S class is the negative of the corresponding integral Pontrjagin class. Since the Bockstein $\beta: H^i(K, S^1) \rightarrow H^{i+1}(K, Z)$ is an isomorphism for $0 \leq i \leq n$, the S -classes of the bundle over K are just $-\beta^{-1} p_i(\rho)$. The theorem follows by naturality.

Remark. Since BG is formed from M by attaching cells of dimension greater than n , i induces an onto map in n -dimensional integral homology and isomorphisms in lower dimensions. Thus i is injective on S^1 cohomology of dimension n and an isomorphism for dimensions less than n . Since β^{-1} is an isomorphism we have

Corollary. *$Sp_i(M)$ vanishes if and only if $p_i(\rho)$ does for $4i - 1 \leq \dim M$.*

Recall the definition of the Lens space $L(p; q_1, \dots, q_n)$. $U(n)$ acts on $S^{2n-1} \subset C^n$. Z_p is represented in $U(n)$ by $\rho(1) = \begin{pmatrix} \lambda^{q_1} & & 0 \\ & \ddots & \\ 0 & & \lambda^{q_n} \end{pmatrix}$ where $\lambda = e^{2\pi i/p}$.

The quotient of S^{2n-1} by this subgroup of $U(n)$ is the Lens space $L(p; q_1, \dots, q_n)$. By convention we assume p and q are relatively prime and the q 's have no common factor. We assume p is odd for convenience. We denote by q'_i the integer between 0 and p which represents the multiplicative inverse of q_i modulo p .

Given (q_1, q_2, \dots, q_n) we construct a model of BZ_p (which is the one we will use from now on) whose $(2n - 1)$ -skeleton is $L(p; q_1, q_2, \dots, q_n)$. First recall the definition of the infinite sphere S^∞ :

$$S^\infty = \left\{ (z_1, z_2, \dots) : z_i \in C, \text{ almost all } z_i = 0 \text{ and } \sum_{i=1}^\infty |z_i|^2 = 1 \right\} .$$

Choosing a generator t of Z_p we let t act on S^∞ by

$$t \cdot (z_1, z_2, \dots, z_n, z_{n+1}, \dots) = (\lambda^{q_1} z_1, \lambda^{q_2} z_2, \dots, \lambda^{q_n} z_n, \lambda z_{n+1}, \dots) .$$

The quotient space is the desired model. By representing t in $U(1)$ as $e^{2\pi i/p}$ we obtain a bundle over BZ_p which we will call H . The Chern class of H we will call the canonical generator of $H^2(BZ_p, Z)$ (adapted to the q_i 's) and will label x_2 .

Proposition. *The Pontrjagin classes of ρ are given by*

$$p_i(\rho) = \sigma_i(q_1^2, \dots, q_n^2) x_2^i ,$$

where σ_i is the i th elementary symmetric function.

Proof. Note that p is already complex and diagonal. The bundle over $BZ_p(q_1, \dots, q_n)$ is easily seen to be $H^{q_1} \oplus H^{q_2} \oplus \dots \oplus H^{q_n}$. By definition $c(H) = 1 + x_2$. Hence $c(H^{q_i}) = 1 + q_i x_2$ and $p_1(H^{q_i}) = c_1(H^{q_i} \oplus C) = c_1(H^{q_i} \oplus \bar{H}^{q_i}) = 1 - q_i^2 x_2$.

The result follows from the Whitney sum formula (there is, of course, no 2-torsion in the cohomology of BZ_p , p odd).

For later use we will need the S -numbers of $L(p, q_1, \dots, q_n)$. For this we need a $(4n - 1)$ -dimensional manifold, that is, an even number of q_i 's.

We now compute the S -number corresponding to the top Pontrjagin class $Sp_n(L(p; q_1, \dots, q_{2n}))$. Computations of the other numbers follow easily from this as will be seen.

Over $L(p; q_1, \dots, q_{2n})$ we have the Hopf bundle H , the restriction of the bundle H over BZ_p . Alternatively it is the line bundle associated to the principal bundle $Z_p \rightarrow S^{4n-1} \rightarrow L(p; q_1, \dots, q_{2n})$ by using the representation which sends the generator of Z_p to $\lambda = e^{2\pi i/p}$. Thus the Chern-class of H is the restriction of x_2 , the canonical generator of $H^2(BZ_p, Z)$, to $L(p; q_1, \dots, q_{2n})$. This restriction we will also call x_2 . Now we have seen that the calculation of Sp_n for the tangent bundle of $L(p; q_1, \dots, q_{2n})$ which we denote $Sp_n(L(p; q_1, \dots, q_{2n}))$ is reduced to calculating:

$$\begin{aligned} &\langle \sigma_n(q_1^2, q_2^2, \dots, q_{2n}^2) \beta^{-1} x_2 \cup x_2^{2n-1}, L(p; q_1, \dots, q_{2n}) \rangle \\ &= \sigma_n(q_1^2, q_2^2, \dots, q_{2n}^2) \langle \beta^{-1} x_2 \cup x_2^{2n-1}; L(p; q_1, \dots, q_{2n}) \rangle. \end{aligned}$$

But the quantity inside the brackets is merely Sp_n for the bundle $H \oplus H \oplus \dots \oplus H$ (taken $2n$ times) over $L(p; q_1, \dots, q_{2n})$.

We simplify the calculation still further by noting that there is a degree $q'_1 q'_2 \dots q'_{2n}$ map from $L(p; q_1, \dots, q_{2n})$ to $L(p; 1, 1, \dots, 1)$ obtained from $(z_1, z_2, \dots, z_{2n}) \xrightarrow{\varphi} (z_1^{q'_1}, z_2^{q'_2}, \dots, z_{2n}^{q'_{2n}})$. Moreover, φ can clearly be covered by a bundle map from the bundle H over $L(p; q_1, \dots, q_{2n})$ to the bundle H over $L(p; 1, 1, \dots, 1)$. Thus $\varphi^* x_2 = x_2$, and we obtain

$$\begin{aligned} &\langle \beta^{-1} x_2 \cup x_2^{2n-1}, L(p; 1, 1, \dots, 1) \rangle \\ &= \frac{1}{q'_1 q'_2, \dots, q'_{2n}} \langle \beta^{-1} x_2 \cup x_2^{2n-1}, \varphi_* L(p; q_1, \dots, q_{2n}) \rangle \\ &= \frac{1}{q'_1 q'_2, \dots, q'_{2n}} \langle \beta^{-1} \varphi^* x_2 \cup \varphi^* x_2^{2n-1}, L(p; q_1, \dots, q_{2n}) \rangle \\ &= \frac{1}{q'_1 q'_2, \dots, q'_{2n}} \langle \beta^{-1} x_2 \cup x_2^{2n-1}, L(p; q_1, \dots, q_{2n}) \rangle. \end{aligned}$$

We then see that

$$\begin{aligned} &Sp_n(L(p; q_1, \dots, q_{2n})) \\ &= -q'_1 q'_2 \dots q'_{2n} \sigma_n(q_1^2, \dots, q_{2n}^2) \langle \beta^{-1} x_2 \cup x_2^{2n-1}, L(p; 1, \dots, 1) \rangle, \end{aligned}$$

where q'_i is an integer whose residue mod p is the multiplicative inverse of that of q_i mod p .

To evaluate the quantity in parentheses we can use several different methods.

The quantity $\langle \beta^{-1}x_2 \cup x_2^{2n-1}, L(p; 1, 1, \dots, 1) \rangle$ is just the linking number of the Poincaré duals of x_2 and x_2^{2n-1} (see for example Seifert and Threlfall, *Lehrbuch der Topologie*, pp. 277–280). Let $[z_1, \dots, z_{2n}]$, $z_i \in \mathbb{C}$ and $|z_1|^2 + |z_2|^2 + \dots + |z_{2n}|^2 = 1$ be the “homogeneous coordinates” in the Lens space $L(p; 1, 1, \dots, 1)$; that is, $[z_1, z_2, \dots, z_{2n}]$ is the equivalence class of $(z_1, z_2, \dots, z_{2n}) \in \mathbb{C}^{2n}$ under the diagonal \mathbb{Z}_p action, then the Poincaré dual of x_2 is represented by the sub-Lens space $\{[z_1, z_2, \dots, z_{2n-1}, 0]\} = L(p; 1, 1, \dots, 1)$ where this time there are $(2n - 1)$ 1’s. The Poincaré dual of x_2^{2n-1} is the sub-Lens-space (actually a circle) $[0, 0, \dots, 0, z_{2n}]$. p times this later manifold bounds the singular disk $\left\{ \left[0, 0, \dots, \cos \frac{\pi t}{2}, \sin \frac{\pi t}{2} z_{2n} \right], |z_{2n}| = 1, 0 \leq t \leq 1 \right\}$. Since the Poincaré dual of x_2 intersects this disk at *one point* we find $1/p$ for the desired linking number.

Finally, then we obtain

$$\begin{aligned} Sp_n(L(p; q_1, q_2, \dots, q_{2n})) &\equiv -\frac{\sigma_n(q_1^2, \dots, q_{2n}^2)}{p} q'_1 q'_2 \dots q'_{2n} \pmod{Z} \\ &\equiv -\frac{\sigma_n(q_1^2, \dots, q_{2n}^2)}{q_1 q_2 \dots q_{2n}} \pmod{p}. \end{aligned}$$

Note the previous proof also gives that: for any polynomial $Q(t_1, t_2, \dots, t_n)$ of weight n (i.e., for each monomial $t_1^{a_1} t_2^{a_2} \dots t_n^{a_n}$ occurring in Q we have $a_1 + 2a_2 + \dots + na_n = n$),

$$SQ(p_1, p_2, \dots, p_n) \equiv -\frac{Q(\sigma_1(q_1^2, \dots, q_{2n}^2), \dots, \sigma_n(q_1^2, \dots, q_{2n}^2))}{q_1 q_2 \dots q_{2n}} \pmod{p}.$$

4. Application to conformal immersions

To construct the manifolds promised in the introduction we construct Lens spaces so that all S -classes but the highest are zero. Recall that for $L(p; q_1, \dots, q_{2n})$

$$Sp_i = 0 \iff \sigma_i(q_1^2, \dots, q_{2n}^2) \equiv 0 \pmod{p}.$$

Also assume for convenience that p is an odd prime.

Thus to construct a Lens space whose only nonvanishing S -class is the top class we must solve the following number theory problem. Given an even number $2n$, find a prime p and a $2n$ -tuple $\{q_1, q_2, \dots, q_{2n}\}$ so that for $1 < n$

$$\begin{aligned} \sigma_i(q_1^2, q_2^2, \dots, q_{2n}^2) &\equiv 0 \pmod p, \\ \sigma_n(q_1^2, q_2^2, \dots, q_{2n}^2) &\not\equiv 0 \pmod p. \end{aligned}$$

We now find a sufficient condition which the q_i 's themselves must satisfy in order that the q_i^2 's satisfy the above equation. Suppose we have solved the equations

$$\begin{aligned} * \quad \sigma_i(q_1, \dots, q_{2n}) &\equiv 0 \pmod p, \quad 1 \leq i < 2n, \\ &\text{with none of the } q_i \text{'s zero mod } p. \end{aligned}$$

$\sigma_i(q_1^2, \dots, q_{2n}^2)$ is a symmetric function of the q_i 's and therefore a polynomial in $\sigma_k(q_1, \dots, q_{2n})$. Now, if $1 < n$ we must have $k < 2n$. Hence for the q_i 's which are solutions of * we have $\sigma_i(q_1^2, \dots, q_{2n}^2) \equiv 0, \pmod p$ for $i < n$. Now the expansion of $\sigma_n(q_1^2, \dots, q_{2n}^2)$, the only term of which does not give zero when evaluated at our special q_i 's, is $\sigma_{2n}(q_1, \dots, q_{2n})$. This appears multiplied by a universal constant which we evaluate

$$\sigma_n(X_1^2, \dots, X_{2n}^2) = C \sigma_{2n}(X_1, \dots, X_{2n}) \pmod{\text{lower terms}}.$$

To evaluate C we choose $X_1 = 1, X_2 = \xi, \dots, X_{2n} = \xi^{2n-1}$ where ξ is a primitive $(2n)$ th root of unity. $\sigma_{2n}(1, \xi, \dots, \xi^{2n-1}) = 1$. On the other hand, $\sigma_n(1, \xi^2, \dots, \xi^{4n-2}) = (-1)^{n+1} 2$, as one sees easily.

Since p is odd, $\sigma_{2n}(q_1, \dots, q_{2n}) = q_1 \cdots q_{2n} \not\equiv 0, \pmod p \Rightarrow \sigma_n(q_1^2, \dots, q_{2n}^2) \not\equiv 0, \pmod p$. From this we see that it is enough to find q_1, \dots, q_{2n} which satisfy *. In order that * be satisfied it is sufficient that

$$(X - q_1)(X - q_2) \cdots (X - q_{2n}) \equiv X^{2n} - 1 \pmod p,$$

that is, the polynomial $X^{2n} - 1$ splits completely over the field Z_p . For this it is enough that Z_p contain a primitive $(2n)$ th root of unity, that is, Z_p^* have an element of precisely $2n$. Since Z_p^* is cyclic (recall p is prime) this is equivalent to $2n | (p - 1)$, that is, $p = 2nk + 1$. The existence of an infinite number of primes of this form is guaranteed by the Dirichlet prime theorem. An example is $2n = 8, p = 137, \xi = 10$, for which the resulting Lens space is

$$L(137; 1, 10, 100, 41, 136, 127, 37, 96).$$

Now recall that modulo 2 torsion the normal Pontrjagin classes of a bundle E are defined recursively by:

$$p_i^+ = -p_i - p_{i-1}p_1^+ - \cdots - p_{i-1}^+p_1.$$

Whitney duality theorem tells us that the classes p_i^+ are precisely the Pontrjagin classes of the stable inverse bundle E^+ . Cheeger-Simons [2] have shown that

precisely the same situation holds for the S -classes, that is, the S -classes of the Riemannian inverse bundle E^\perp are just the S -classes obtained from the original bundle E by applying the S -construction to the pair $(p_1^\perp(Q), u_1^\perp)$ where u_1^\perp is an integer cocycle representing p_1^\perp .

Thus, if $Sp_m^\perp(E)$ does not vanish, then E does not admit a Riemannian inverse bundle of dimension less than $2m$. In the case where E is the tangent bundle of a Riemannian manifold, this gives a lower bound on the codimension of an isometric or conformal immersion.

Now for Lens spaces of dimension $4n - 1$ all the S -classes except for Sp_n are just minus the inverse images of the corresponding integral Pontrjagin classes under the Bockstein homomorphism. For the Lens spaces we have just constructed $Sp_i^\perp = 0, i \neq n$, and $Sp_n^\perp \neq 0$. Indeed, $Sp_i^\perp = \beta^{-1}(p_i^\perp) = \beta^{-1}(p_i) + \beta^{-1}(Q)$, where Q is a polynomial in $p_j^\perp, p_j, j < i$, which we can assume is zero by induction.

It follows then that these Lens spaces do not immerse isometrically in codimension $2n - 1$. For $L(137; 1, 10, 100, 41, 136, 127, 37, 96)$, $Sp_4^\perp \neq 0$, hence it does not immerse isometrically in codimension 7. Since this Lens space is 15 dimensional, it does not immerse isometrically in R^{22} .

We still must show that we can find special Lens spaces which satisfy * and immerse smoothly in codimension 1. By standard immersion theory it is enough to construct special Lens spaces with stably-trivial tangent bundles. That this can be done follows immediately from the following fundamental lemma of Kervaire [4]:

Let τ be a stable $SO(m)$ bundle over a complex K (i.e., $\dim K < m$), and S a cross-section of $\tau | K^{4l-1}$. Then the obstruction $O_l(\tau, S) \in H^{4l}(K, \pi_{4l-1}(SO(m)))$ is related to the Pontrjagin class $p_l(\tau)$ by

$$p_l(\tau) = a_l(2l - 1)! O_{4l}(\tau, S),$$

where $a_l = 2, l$ odd; $a_l = 1, l$ even. Since the special Lens spaces which we have constructed have the property $p_l = 0$ for all l , by choosing the prime p sufficiently large (so that $p > (2l - 1)!$ for all possible l) we can ensure

$$O_l(\tau, S) = 0 \quad \text{for all } l.$$

If the Lens space under consideration has dimension $4n - 1$, then the last obstruction occurs in dimension $4n - 4$ so that $l = n - 1$. Thus $p > (2n - 3)!$ will guarantee all obstructions to stable trivialization vanish.

Note that $137 > (8 - 3)! = 5! = 120$. Hence $L(137; 1, 10, 100, 41, 136, 127, 37, 96)$ immerses smoothly in R^{16} .

In summary, for each n we have constructed infinitely many Lens spaces of dimension $4n - 1$, immersing smoothly in R^{4n} but not conformally in $R^{4n+2n-2}$.

5. Z_p actions on $4k$ -manifolds and characteristic numbers, and the residue formula for isolated fixed points

Suppose an oriented $4n$ -manifold M admits a periodic diffeomorphism f with isolated fixed points m_1, m_2, \dots, m_k . Choose a metric on M so that f is an isometry for that metric. df maps the tangent space at the fixed point to itself and, relative to some basis, may be written in rotation blocks:

$$\begin{pmatrix} \cos \frac{2\pi q_1}{p} & -\sin \frac{2\pi q_1}{p} & & & \\ & \sin \frac{2\pi q_1}{p} & & & \\ & & \cos \frac{2\pi q_2}{p} & & \\ & & & \ddots & \\ & & & & \cos \frac{2\pi q_n}{p} & \\ & & & & & & 0 \end{pmatrix}.$$

For each fixed point m_i we get $2n$ rotation angles $2\pi q_1/p, 2\pi q_2/p, \dots, 2\pi q_{2n}/p$. Now let $Q(t_1, \dots, t_k)$ be a polynomial of weight n . Then corresponding to Q there is a Pontrjagin number $\int_M Q(p_1, \dots, p_k) = Q([M])$ where p_i denotes the i th Pontrjagin class, and our theorem implies

$$Q([M]) \equiv - \sum_{\text{fixed points}} \frac{Q(\sigma_1(q_1^2, \dots, q_{2n}^2), \dots, \sigma_k(q_1^2, \dots, q_{2n}^2))}{q_1 q_2 \dots q_{2n}} \pmod p.$$

Proof. We replace the metric by a metric which is flat around each fixed point and for which f acts isometrically. The procedure is the same as flattening a polar cap of the sphere to get a flat metric around the north pole. Formally, one proceeds as follows. Let m_0 be a fixed point and $U(m_0)$ a neighborhood so that the exponential map is a diffeomorphism from some open set in $T(M, m_0)$ onto $U(m_0)$. Define a new metric $((,))$ in $U(m_0)$ as follows. If V and W are two tangent vectors at $m \in U(m_0)$, $((V, W)) = (\exp_{m_0}^{-1} V, \exp_{m_0}^{-1} W)$ where $(,)$ denotes the inner product in $T(M, m_0)$. We interpolate between this metric and the original metric in some annulus around boundary U_0 using a function of the geodesic distance from the fixed point. Do this for all fixed points m_i . Since f was an isometry of the original metric it commutes with the exponential map. From this it is easy to deduce that f is an isometry of $((,))$. Now if Ω is the curvature form of $((,))$, then

$$Q([M]) = \int_M Q(p_1(\Omega), \dots, p_k(\Omega)) = \int_{M - \bigcup_{i=1}^k \{m_i\}} Q(p_1(\Omega), \dots, p_k(\Omega))$$

since the integral is not changed by removing a set of measure zero. If $B_i(r)$ is the ball of radius r around the fixed point m_i , we obtain

$$Q([M]) = \lim_{r \rightarrow 0} \int_{M - \bigcup_{i=1}^k B_i(r)} Q(p_1(\Omega), \dots, p_k(\Omega)).$$

Now, $M - \bigcup_{i=1}^k B_i(r)$ is a manifold with boundary a disjoint collection of $(4n - 1)$ -spheres. Choose r so small that each sphere S_i^{4n-1} is contained inside the neighborhood $U(m_i)$. Each sphere admits a fixed point free isometric Z_p action obtained by restricting f . Taking the quotient of $M - \bigcup_{i=1}^k B_i(r) = W$ we obtain a manifold \bar{W} with boundary a disjoint collection of Lens spaces $L_i(p; q_1, \dots, q_{2n})$. The q_i 's are of course determined by the rotation angles of df . Now $\int_W Q(p_1(\Omega), \dots, p_k(\Omega)) = \frac{1}{p} \int_{\bar{W}} Q(p_1(\Omega), \dots, p_k(\Omega)) \equiv SQ(T(\bar{M})|_{\bigcup_{i=1}^k L_i}) \pmod{Z}$. By the construction of our metric, the bundle $T(\bar{M})|_{L_i}$ is just the locally flat Euclidean bundle of § 2 whose S invariants we calculated. The theorem follows by passing to the limit as $r \rightarrow 0$.

Remark. One can obtain a formula for smooth Z_p actions with general fixed point sets by computing the S -characters of Lens space bundles in terms of the S -characters of the fiber and characteristic classes of the base, according to the Simons-Cheeger product formula. (See Cheeger-Simons [2].) Also, one can deduce congruences corresponding to polynomials of degree less than $4k$. We will do neither of these and we refer the reader to the paper of Kosniowski [5] for these formulas.

We had wondered if the Atiyah-Hirzebruch theorem that a spin-manifold admitting any nontrivial S^1 action has vanishing \hat{A} genus generalized to the mod p case. However, Nigel Hitchin pointed out that the quartic surface $z_0^4 + z_1^4 + z_2^4 + z_3^4 = 0$ in CP^3 is spin, has \hat{A} genus 2, and admits a Z_3 action (in fact an S_3 action as permutations of the coordinates).

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